

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christopher Ronnewinkel et al.	Art Unit : 2166
Serial No. : 10/822,495	Examiner : Joon H. Hwang
Filed : April 12, 2004	Conf. No. : 3024
Title : USER INTERFACE FOR MAINTAINING CATEGORIZATION SCHEMES	

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. Applicants note that the following are co-pending applications with common inventors: U.S. Applications: Ser. No. 10/330,402, titled "Intelligent Classification System," filed on 12/27/2002; Ser. No. 10/822,363, titled "Coherent Categorization," filed on 04/12/2004; Ser. No. 10/822,612, titled "Content Analysis for Automated Suggestions," filed on 04/12/2004; Ser. No. 10/822,493, titled "Design Process for Implementing Coherent Categorization," filed on 04/12/2004; and Ser. No. 11/196,968, titled "Confidence Indicators for Automated Suggestions," filed on 08/04/2005.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply the charge of \$180 in payment of the late submission fee of §1.17(p) to Deposit Account No. 06-1050. Please apply any other charges or credits to Deposit Account No. 06-1050.

Applicant : Christopher Ronnewinkel et al.  
Serial No. : 10/822,495  
Filed : April 12, 2004  
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Attorney's Docket No.: 13906-168001 / 2004P00095  
US

Respectfully submitted,

Date: Jan. 23, 2007

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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 13906-168001	Application No. 10/822,495
	Applicant Christopher Ronnewinkel et al.			
	Filing Date April 12, 2004		Group Art Unit 2166	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2001/0047377	11/29/2001	Sincaglia, et al.			
	AB	2002/0065836	05/30/2002	Sasaki			
	AC	2002/0116641	08/22/2002	Mastrianni			
	AD	2004/0083191	04/29/2004	Ronnewinkel			
	AE	5,765,033	06/09/1998	Miloslavsky			
	AF	5,793,861	08/11/1998	Haigh			
	AG	5,895,466	04/20/1999	Goldberg, et al.			
	AH	6,741,959	05/25/2004	Kaiser			
	AI	6,810,394	10/26/2004	Coutts, et al.			
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	02/080036	10/10/2002	WO				
	AM	1,199,855	04/24/2002	Europe				
	AN	1,209,617	05/29/2002	Europe				
	AO	2000/231,520	08/22/2000	JP			X	
	AP	2001/297,261	10/26/2001	JP			X	
	AQ							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AR	4.3.2 Case Based Reasoning, obtained at <a href="http://www.cs.mdx.ac.uk/staffpages/serengul/ML/hybrid.html">http://www.cs.mdx.ac.uk/staffpages/serengul/ML/hybrid.html</a> - Printed from the Internet on 12/9/2002, 2 pages.
	AS	Sebastiani, "Machine Learning in Automated Text Categorization," ACM Computing Surveys, 34:1, (2002) pp. 1-47.
	AT	Yang et al., "A re-examination of text categorization methods," School of Computer Science, Carnegie Mellon University, pp. 42-49.

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13906-168001	Application No. 10/822,495
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Christopher Ronnewinkel et al.	
		Filing Date April 12, 2004	Group Art Unit 2166

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AU	Lesser et al., "BIG: An Agent for Resource-Bounded Information Gathering and Decision Making," <i>Artificial Intelligence</i> , 118 (2000), pp. 197-244.
	AV	Larkey et al., "Combining Classifiers in Text Categorization," Proceedings of the 19th Annual International ACM Sigir Conference on Research and Development in Information Retrieval, Zurich, August 18 – 22, 1996 pp. 289-297.

Examiner Signature	Date Considered
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